Attorney's Docket No.: 07334-004002

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Andrew W. Shyjan

Art Unit : 1642

Serial No.: 08/862,442

Examiner: J. Burke

Filed Title

: May 23, 1997

: COMPOSITIONS AND METHODS FOR THE DIAGNOSIS, PREVENTION

AND TREATMENT OF TUMOR PROGRESSION

Assistant Commissioner for Patents Washington, D.C. 20231

#### INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed. This statement is being filed after a final Office action, but before receipt of a Notice of Allowance.

A check for \$240.00 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

MARCH 2000

Aprita L. Meiklejohn, Ph.D.

No. 35/282

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PAGE 4/14 \* RCVD AT 5/10/2004 4:12:05 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-1/8 \* DNIS:8729306 \* CSID:6175428906 \* DURATION (mm-ss):03-50



Sheet <u>1</u> of <u>2</u>

ſ	Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07334-004002	Application No. 08/862,442	_
	Information Dis- by A (Use several si	closure Statement oplicant neets if necessary)	Applicant Andrew W. Shyjan Filing Date May 23, 1997	Group Art Unit	_
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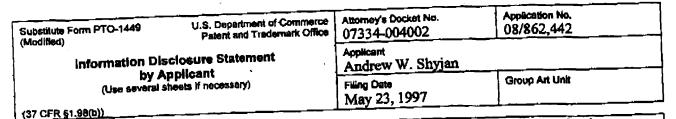
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Examiner	Desig.	Patent Number	Issue Date	Patentee	Class	Subclass	If Appropriate
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		Bowie et al., "Deciphering the Message in Protein Sequences: Tolerance to Amino Acid
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		binding (Acidic Fibroblast)" J. Cell. Biol. 111:2125, 1990.  Fradet et al., "Cell Surface of Human Bladder Cancer Defined by Mouse Monoclonal Antibodies"
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	1 4	Proc. Nat'l. Acad. Sci. USA 81:224, 1984.

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	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner	Desig.	Desimont
Initial	ID	Harris et al., "Seminal Vesicle Secretion IV Gene; Allelic Difference Due to a Series of 20-base-pair
	AV	direct Tandem Repeats within an Intron" Proc. Nat I. Acad. Sci. 0579 0400
	1	1 No. No. No. No. No. 1995.
	AW	Hillier et al., Accession No. N24754, Dec. 20, 1971  Kahana et al., "Nucleotide Sequence of Murine Ornithine Decarboxylase mRNA" Proc. Nat'l. Acad
	AX	Kahana et al., "Nucleotide Sequence of Marke Statistics
	^^	Sci. USA 82:1673, 1985.
		Sci. USA 82:1673, 1985.  Lazar et al., "Transforming Growth Factor α: Mutation of Aspartic Acid 47 and Leucine 48 Results  Lazar et al., "Transforming Growth Factor α: Mutation of Aspartic Acid 47 and Leucine 48 Results
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	<del>                                     </del>	in Different Biological Activities" Mol. Cell. Biol. 3.1247, 1930.  Lewis et al., "The Sequence of the Kluyveromyces Lactis BiP Gene" Nucl. Acids Res. 18:6438,
	ΑZ	
	<del></del>	1990.  Rieger et al., "Glossary of Genetics and Cytogenetics, Classical and Molecular, Springe-Vertag,
	BA	
		Berlin, 4 <sup>m</sup> edition, 1976.  Schwartz et al., "A Superactive Insulin: [B10-Aspartic Acid] Insulin(Human)" Proc. Nat'l. Acad.
	BB	Schwarz et al., A superactive mamma (***
		Sci. USA 84:6408, 1987.
	BC	Stratagene Catalog, page 39, 1988.

Examiner Signature	Date Considered
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examiner: Initials citation considered. Draw into the property of the property	Substitute Disclosure Form (PTO-1449)

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The Patent and Trademark Office date stamp sets forth the date of receipt of:
Applicant of Patentee Andrew (1), 5hyjon No (Application, Appeal, Interference, Patent, Reexam) 08/862, 442
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( ) Number of Independent claims in the application and noted in the transmittal letter and o the postcard match
( ) Attorney named on the filing receipt and attorney named on the declaration/filing request match
( ) Inventor(s) named on the filing receipt and the inventor(s) named in the declaration/filing request match
( ) Title noted on filing receipt and on the application match
CHECKED BY:

Attorney's Docket No.: 07334-004002

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Andrew W. Shyjan

Art Unit: 1642

Serial No.: 08/862,442

Examiner: J. Burke

Filed

: May 23, 1997

Title

: COMPOSITIONS AND METHODS FOR THE DIAGNOSIS, PREVENTION

AND TREATMENT OF TUMOR PROGRESSION

Assistant Commissioner for Patents Washington, D.C. 20231

#### INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed. This statement is being filed with a "CPA" application.

Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted.

22 MAY 2000 Date:

Anita L/Meiklejohn, Ph.D. Reg. No/35,283

Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110-2804

Telephone: (617) 542-5070 Facsimile: (617) 542-8906

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07334-004002	Application No. 08/862,442
Information Disci	osure Statement	Applicant Andrew W. Shyjan	
(Use several sheet) (37 CFR §1.98(b))	els if necessary)	Filing Date May 23, 1997	.Group Art Unit

			U.S. Pate	nt Documents			
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass.	Filing Date If Appropriate
11112	AA	- 5,710,137	1/20/98	Fisher	514	44	
	AB	5,837,845	11/17/98	Hosokawa et al.	536	23.53	
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	AL	WO 93/22424	11/11/93	PCT				
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	AP						<u> </u>	<u> </u>

(	Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner Initial	Desig. ID	Document				
	AR	Bowie et al., "Deciphering the Message in Protein Sequences: Tolerance to Amino Acid Substitutions" Science 247:1306, 1990.				
	AS	Burgess et al., "Possible Dissociation of the Heparin-binding and Mitogenic Activities of Fieparin-				
	AT	Fradet et al., "Cell Surface of Human Bladder Cancer Defined by Mouse Monoclonal Antibodies" Proc. Nat'l. Acad. Sci. USA 81:224, 1984.				

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if no	ot in conformance and not considered, include copy of this form with
next communication to applicant	Substitute Disclosure Form (PTO-1449)

Sheet <u>2</u> of <u>2</u>

1	Substitute Form PTO-1449 U.S. Departm (Modified) Patent and	nent of Commerce Attorney's Docket N 07334-004002	No. Application No. 08/862,442
	Information Disclosure States by Applicant (Use several sheets if necessary	FILLET	Group Art Unit
	(37 CFR §1.98(b))		

Examiner	Desig.	Documents (include Author, Title, Date, and Place of Publication)
Initial	ID_	W. Gane: Allelic Difference Due to a Series of 20-base-pa
	ΑV	Harris et al., "Seminal Vesicle Secretion IV Gene: Allelic Difference Due to a Series of 20-base-parties at Intron" Proc. Nat'l. Acad. Sci. USA, 80:6460, 1983.
	ΑW	1
	<b></b>	Hillier et al., Accession No. 1924/35, 1966. 25, 1995.  Kahana et al., "Nucleotide Sequence of Murine Ornithine Decarboxylase mRNA" Proc. Nat'l, Academic Marine Mar
	AX	Sci. USA 82:1673, 1985.
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	AY	in Different Biological Activities" Mol. Cell. Biol. 8:1247, 1988.
	<u> </u>	in Different Biological Activities" Mol. Cent. Biol. 8:12-7, 17-86.  Lewis et al., "The Sequence of the Kluyveromyces Lactis BiP Gene" Nucl. Acids Res. 18:6438,
	AZ	Lewis et al., The sequence
		1990. Rieger et al., "Glossary of Genetics and Cytogenetics, Classical and Molecular, Springe-Vertag,
	BA	Rieger et al., "Glossary of Genetics and Systematics,"
	PA	Berlin, 4th edition, 1976.
		Berlin, 4th edition, 1976.  Schwartz et al., "A Superactive Insulin: [B10-Aspartic Acid] Insulin(Human)" Proc. Nat'l. Acad.
	BB	Sci. USA 84:6408, 1987.
	1-50	Stratagene Catalog, page 39, 1988.
	BC	Stratagene Catalog, page 33, 1755.

Examiner Signature	Date Considered
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EXAMINER: Initiats citation considered. Draw line of the service mext communication to applicant.	Substitute Disclosure Form (PTO-1449)

Attorney's Docket No.: 07334-004002

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Andrew W. Shyjan

Art Unit : 1642

Serial No.: 08/862,442

Examiner: E. Canella

Filed

: May 23, 1997

Title

: COMPOSITIONS AND METHODS FOR THE DIAGNOSIS, PREVENTION

AND TREATMENT OF TUMOR PROGRESSION

Commissioner for Patents Washington, D.C. 20231

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$240 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

11 AUG 2000

Anna L. Meiklejohn, Ph.D.

Reg. No. 35.283

Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110-2804 Telephone: (617) 542-5070

Facsimile: (617) 542-8906

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Sheet 1 of 1

	Substitute Form PTO-1449	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07334-004002	Application No. 08/862,442	
$\left  \right $	Substitute Form PTO-1449  Modified)  U.S. Department of Commerce Patent and Trademark Office  Information Disclosure Statement  by Applicant  (Use several sheets if necessary)  (37 CFR \$1.98(b))	Applicant Andrew W. Shyjan			
	(Use several s	heets if necessary)	Filing Date May 23, 1997	Group Art Unit 1642	

<del></del>			U.S. Pate	nt Documents			
Examiner Initial	Desig.	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
141421423	AA	5,674,739	10/7/97	Shyjan	435	252.3	<u></u> _
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	AL	WO 86/03226	5 JUN 86	PCT			
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Examiner Initial	Desig. ID	Document  Wang et al., "Identification and Molecular Cloning of Tactile" J. of Immun. 148(8):2600-2608,
	AQ	Wang et al., "Identification and Molecular Clothing of Facility 1992.
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Examiner Signature	Data Considered
EVANABLE P. Jollale citation considered. Draw line through citation if no	ot in conformance and not considered, include copy of this form with
next communication to applicant,	Substitute Disclosure Form (PTO-1449)

3 Docket No.: 07334-004002 Attorney

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Date:

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Sheet 1 of 2

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	Information Dis	closure Statement oplicant	Applicant Andrew W. Shyjan		
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	GenBank Accession No. N42635, Hillier et al., January 25, 1996.
	GenBank Accession No. N32151, Hillier et al., January 10, 1996.
AS AT AU	GenBank Accession No. N24756, Hillier et al., December 28, 1995.
	GenBank Accession No. N24164, Hillier et al., December 28, 1995.
	GenBank Accession No. H98055, Hillier et al., December 12, 1995.
	GenBank Accession No. N22835, Hillier et al., December 28, 1995.
	Desig. ID AQ AR AS AT

Date Considered Exeminer Signature

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)